

PROJECT PROGRESS REPORT¹
PERIOD April – December 2012 (First 3 Quarters)

UNDP	
Philippine Country Office Registry	
PROGRESS REPORT	
Date Received:	<u>29-01-13</u>
Forward to:	<u>AS</u>
cc:	<u>CS</u>



Empowered lives.
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A. BASIC INFORMATION

Project ID	0081792
Project Title	Enabling the Cities of Cagayan de Oro and Iligan to Cope with Climate Change or Project Climate Twin Phoenix
Implementing Partner	Climate Change Commission
Project Duration	April 2012 – December 2014
Total Project Fund	USD 1,522,000.00
Annual Budget (2012)	USD 262,788.80

B. TECHNICAL ACCOMPLISHMENTS

(Evidence-based reporting, include photo-documentation (description, date, location) as an attachment)

1. Summary of Accomplishments – a narrative of the technical accomplishments

Summary of Accomplishments
<p>For the first & second quarters of project implementation (April – September 2012) , the following activities were completed under the Project Climate Twin Phoenix:</p> <ul style="list-style-type: none"> • <u>Signing of the Project Document</u> <p>The Project Document was signed last April 20, 2012. A total of 24 participants attended the event (9 male participants and 15 female participants) from government, development partners and media. Details of the event are also uploaded in the official website of the Climate Change Commission (http://climate.gov.ph)</p> <ul style="list-style-type: none"> ○ Press Release of the Climate Change Commission – Multi-lateral Support - http://climate.gov.ph/index.php/news/press-releases/366-multi-lateral-support ○ Press Release of the Climate Change Commission – P 32M for green growth programs - http://climate.gov.ph/index.php/news/press-releases/365-p32m-for-green-growth-programs ○ News Article by Johanna Poblete of the Business World – Two Projects to help LGUs cope with Climate Change - http://climate.gov.ph/index.php/news/cc-in-the-news/368-two-projects-to-help-lgus-cope-with-climate-change

¹ Template for Quarterly and Annual Reporting

The following supplementary materials relative to the event are attached as part of the Annex:

- Sample invitation letter
- Attendance Sheet
- 2 Press releases of the Climate Change Commission on the Project Document Signing and the details of the Project Climate Twin Phoenix
- 1 News Article mentioning the project by the Business World
- Screenshots of the posting of uploaded articles and links from the CCC official website, Facebook page and twitter.
- Final Project Document

- **Posting of TORs for the Project Management Unit**

The project hired the following personnel as part of the Project Management Unit to focus on the daily technical and logistical matters of the project.

- Chief Technical Advisor (CTA)
- Technical Assistant (TA)
- Administrative Assistant (AA)
- Finance Officer (FO)

The TORs of the above-mentioned personnel were announced and uploaded in the websites of the Climate Change Commission and the UNDP country office. Deadline for submission of application for the TA and FO was set on June 15, 2012. Attached as supplementary materials are the TORs for the PMU staff.

- **Project Management Start-up Accomplishments**

Given that the project has just started, the CCC has focused on accomplishing activities critical to the project start-up

- Setting up of a bank account
- Identification of organic staff who will be involved in the project
- Finalizing the Annual Work Plan

The following supplementary materials are attached to the report:

- 2012 Annual Work Plan
- 2012 Quarterly Work Plans (April – September 2012)
- Bank Account Certification
- Project Signatories

A number of activities has been accomplished during this period:

- Setting up of the PMU
- Leveling Off Meeting with the Responsible Partners on May 24, 2012
- Presentation of the Project to the Regional Land Use Committee – Region 10 in Cagayan de Oro City

- Leveling Off Meeting with the academe and LGUs in Project Sites
- Inception Workshop on August 23, 2012
- Regional Experts' Forum on DRRM and CCA on October 10-11, 2012 in Cagayan de Oro City with NEDA – Regional Land Use Committee Region X
- Signing of the Memorandum of Agreement with project partners
- Selection and engagement of National Technical Expert for DRR/CCA capacity development
- Project Launching on December 11, 2012
- First Project Board Meeting on December 11, 2012
- Others: Participation to the Round Table Discussion on Watershed Management as Foundation for Resilience and Growth: the Cagayan de Oro City and Bukidnon River Basin Councils Partnership (September 7, 2012)

Leveling Off Meeting with the Responsible Partners (May 24, 2012)

The Climate Change Commission called on the mandated agencies as well as the academic institutions identified in the project document. This is primarily to orient the RPs of the project components and target outputs. The timeline for the inception workshop and discussion on the implementation framework of the project were also discussed. The copy of the highlight of meeting is attached as one of the Annexes.

Presentation to the Regional Land Use Committee (Region 10) Meeting (July 12, 2012)

The Climate Change Commission, through its Executive Director, Secretary Lucille Sering, presented the salient features of the Project Climate Twin Phoenix to the RLUC-X. For the project, the Commission was able to solicit Aus \$ 1.5 Million from the Australian Government for the implementation of the project for the period 2012-2014. With issues of duplication as there are already existing initiatives related to DRR/CCA in the region, the project will be a conceptualized programmatic intervention on DRR and CCA which is firmly rooted in scientific and replicable approaches. The meeting also served as an opportunity to present to the RLUC-X the strategic considerations for the project, the general objective, the target sites, the project components and their outputs, as well as the management/implementation arrangements. Thereafter, the RLUC-X unanimously endorsed the Project Climate Twin Phoenix through a resolution for presentation to the Regional Development Council -10 for confirmation on its 3rd Quarter Meeting on August 16, 2012.

Inception Workshop (August 23, 2012)

The Climate Change Commission organized this activity with the objective of gathering Responsible Partners to review the Project Document (ProDoc), identify sub-activities that can deliver the expected outcomes as specified in the ProDoc, and seek to find out where each agency or organization can link up with the rest of the players per project component. Forty-one (41) participants attended the activity with 22 females and 19 males from government agencies, CSOs, Academe and the Private Sector. To supplement the report, attached is the highlights of the workshop including the programme.

Regional Experts' Forum on DRRM and CCA (October 10-11, 2012)

The Regional Experts' Forum on DRRM and CCA is a joint undertaking of the Climate Change Commission and the National Economic and Development Authority, Region X as a venue to interface with the Project Climate Twin Phoenix considering that the region is currently implementing the "Enhancing Capacities on DRR/CCA Project." The main purpose of the forum is to review the Disaster Risk/Vulnerability Assessment Methodologies and Outputs by the mandated agencies and DRR/CCA experts, specifically to seek inputs from technical experts to improve methodologies and enhance DRA results, and identify possible areas of interface or areas of collaboration of the two projects in the implementation of the remaining deliverables of the "Enhancing Capacities on DRR/CCA Project." Attached in this report is the highlight of the forum conducted in Cagayan de Oro City.

Leveling Off Meeting with the Academe and LGUs in Project Sites (November 15-17, 2012)

The PMU conducted meetings in the field with representatives from the Xavier University-Ateneo de Cagayan (XU), Iligan Institute of Technology of the Mindanao State University (MSU-IIT), City Planning and Development Offices of Cagayan de Oro and Iligan to discuss partnerships and plan, among others, the initial activities including the Training of Trainers on Disaster Risk Reduction and Climate Change Adaptation (DRR/CCA) for Local Partners as part of the capacity development for partners on CCA/DRR.

Selection and Engagement of National Technical Expert for DRR/CCA Capacity Development

The National Technical Expert (NTE) on DRR/CCA Capacity Development is primarily responsible for the provision, coordination and implementation of the conduct of a *Training of Trainers on DRR/CCA for Local Partners (DRR/CCA ToT)* aimed at local academic institutions i.e. XU and MSU-IIT and key project partners such as the local government planners from the two cities. The training shall have the objective of developing a core group of trainers among the local partners who will provide technical expertise and assistance to other project stakeholders/partners at the local level in the field of DRR/CCA.

Signing of the Memorandum of Agreement with Project Partners

The CCC-CCO entered into an agreement with the National Economic and Development Authority (NEDA) Regional Office X for the establishment of the CRISP or Climate Change and Disaster Risk Information System for Planning in the region. CRISP will support the DRR/CCA mainstreaming process as well as support and enhance climate/disaster risk assessments. NEDA 10 can integrate into the CRISP all other databases and information systems it is operating, and network with critical agencies and local government units in data gathering, identifying issues/concerns on data structures and standards, and harmonizing the system in the partners' respective knowledge management systems.

On the other hand, one of the outputs of the Project is enhanced competencies of local government units in mainstreaming climate/disaster risk management into local land use and development planning and regulatory processes. The collaboration between CCC and HLURB is necessary in the formulation of Supplemental Guidelines on Mainstreaming Climate/Disaster Risks in the Comprehensive Land Use Plans with one local government unit in the Project area as case study. The collaboration also aims to enhance capacities of HLURB staff in the use of the Supplemental Guidelines.

Engagement of Video Director and Graphics Artist

The PMU availed the services of a Video Director and Graphics Artist as part of the preparations to the formal launching of the project. These artists were in-charged of putting up a teaser video and lay-outs for the project logo, flyers, tarpaulins and cover designs.

Project Launching and 1st Project Board Meeting (December 11, 2012)

The Climate Change Commission, together with the United Nations Development Program (UNDP) and AusAID, formally launched Project Climate Twin Phoenix in simple ceremonies in Cagayan de Oro City attended by the members of the Project Board, various agencies of the government as Responsible Partners, local stakeholders such as the Provincial and City Local Government Units, regional government agencies, academe, civil society and the private sector. The project's first board meeting, chaired by the CCC with the UNDP as co-Chair, was held right after the launching ceremonies.

2. Accomplishment Matrix

<i>Project Outcomes 1-7</i>								
<i>Project Outputs</i>								
Project Annual Targets				BREAKDOWN OF DELIVERABLES FOR THE CURRENT YEAR	Planned Activities for the Quarter	ACCOMPLISHMENTS FOR THE QUARTER ² (April to Dec, 2012)	ACCOMPLISHMENTS TO DATE	REMARKS
Target Description	#: Yr 1	#: Yr 2	#: Yr 3					
Project Output 7								
Project Management Support and Monitoring				<ul style="list-style-type: none"> Project Management Office established Regular technical and administrative reports submitted to UNDP and donor/s Regular meetings of working groups and Project Board 	<ul style="list-style-type: none"> Provision of financial and administrative support Preparation and submission of required progress & financial reports Conduct of Project Board Meetings 	<ul style="list-style-type: none"> Project Document Signed last April 20, 2012 Setting up of PMU, bank account and signatories Submitted AWP 2012, QWP (Q3 and Q4), monthly FACE Conducted consultation meeting with RPs on May 24, 2012 Presented the project during RLUC-X Meeting on July 12, 2012 Conducted consultation meetings with local 	<ul style="list-style-type: none"> Project Document Signed last April 20, 2012 Setting up of PMU, bank account and signatories Submitted AWP 2012, QWP (Q3 and Q4), monthly FACE Conducted consultation meeting with RPs on May 24, 2012 Presented the project during RLUC-X Meeting on July 12, 2012 Conducted consultation meetings with local 	<i>Explain under-delivery, adjustments made, issues and planned action/s</i>

² Indicate in the bracket the specific quarter for which the report is being prepared

				<ul style="list-style-type: none"> • Project Inception Workshop 		<p>academe and city LGUs on November 2012</p> <ul style="list-style-type: none"> • Conducted 1st Project Board Meeting on December 11, 2012 • Conducted an Inception Workshop with RPs on August 23, 2012 	<p>academe and city LGUs on November 2012</p> <ul style="list-style-type: none"> • Conducted 1st Project Board Meeting on December 11, 2012 • Conducted an Inception Workshop with RPs on August 23, 2012 	
Project Output 6								
Local Knowledge Management System				<ul style="list-style-type: none"> • Initial KM system design(s) • CRISP established 	<ul style="list-style-type: none"> • Promotion and Knowledge Management • MOA signing with partners on CRISP 	<ul style="list-style-type: none"> • Conducted a Project Launching in CDO City on December 11, 2012 • Produced and distributed IEC materials • Hired media specialists and conducted media releases on the project • Entered into an agreement with NEDA-10 for the establishment of the CRISP in the region 	<ul style="list-style-type: none"> • Conducted a Project Launching in CDO City on December 11, 2012 • Produced and distributed IEC materials • Hired media specialists and conducted media releases on the project • Entered into an agreement with NEDA-10 for the establishment of the CRISP in the region 	
Project Output 4								
C/DRM mainstreaming in local land use/development plans				<ul style="list-style-type: none"> • Existing land use/development plans/planning guidelines 	<ul style="list-style-type: none"> • Review existing land use / development plans, 	<ul style="list-style-type: none"> • Conducted a Regional Experts' Forum on DRR/CCA with NEDA-X on 	<ul style="list-style-type: none"> • Conducted a Regional Experts' Forum on DRR/CCA with NEDA-X on 	

				assessed for C/DRM mainstreaming entry points	regulatory processes of LGUs	October 10-11, 2012	October 10-11, 2012	
					<ul style="list-style-type: none"> Develop supplemental guideline for C/DRA integration into CLUPs 	<ul style="list-style-type: none"> Entered into an agreement with HLURB in the formulation of supplemental guidelines on mainstreaming C/DRM in CLUPs 	<ul style="list-style-type: none"> Entered into an agreement with HLURB in the formulation of supplemental guidelines on mainstreaming C/DRM in CLUPs 	
Project Output 3								
Enhanced capacities of local actors				<ul style="list-style-type: none"> Enhance knowledge of project partners on emerging issues and trends on CCA/DRR 	<ul style="list-style-type: none"> Implementation of competency building program 	<ul style="list-style-type: none"> Engagement of an NTE for CCA/DRR Capacity Development to conduct a training of trainers for local partners 	<ul style="list-style-type: none"> Engagement of an NTE for CCA/DRR Capacity Development to conduct a training of trainers for local partners 	

C. FINANCIAL DELIVERY - (see FACE attached as Annex XXII)

<i>Project Outcomes 1-7</i>													
<i>Project Output</i>													
Deliverables for the Year	Major Activities Conducted	Budget in USD			Expenditures in USD				Budget Balance		Delivery Rate (%) To Date (I/A) *100	Remarks	
		Budget Code	Annual Budget (A)	Budget for the Quarter (B)	Expense Code	For the Quarter		To Date		For the Quarter H=B - (D + E)			For the Year I= A -(F + G)
						UNDP (D)	Gov't (E)	UNDP (F)	Gov't (G)				

	Outcome 1	71400 71600	4806 7229	2689 5977	71405 71610 71620 71635	67 29	2848 -38	67 29	4241 338 1099 255	-159 5919	565 5441	12% 75%	
		72100 75700	27896 2101	28996 1195	75705		956		989	28996 239	27896 1112	100% 53%	
	Outcome 2	71600	73	0	71620 71635		0 0		59 17	0	-3	-4%	
		75700	3275	3404						3404	3275	100%	
	Outcome 3	71600	9433		71610 71620 71635		2284 1117 359		8409 1117 359	-3760	-452	-5%	
		72800	2714	2821	72805 72810 74210		2868 1175 762		2868 1175 762	-1222	-1329	-49%	
		75700	58668	60504	75705 75706 75707		17623 10337 15436		17623 10337 15436	17108	15272	-- 26%	
	Outcome 4	71400 71600	8740 322	9084 0	71405 71610 71620 71635		5057 252 135 3		5057 252 135 3	4027 -390	3683 -68	42% -21%	
		72100 72800 75700	50598 1955 2955	52594 2032 2869	72175 72805 75705		67402 1408 639		67402 1408 639	-14808 2032 822	-16804 1955 908	-33% 100% 31%	
	Outcome 5		0				0		0	0	0		
	Outcome 6	71400 72100 74200	1150 9199 2300	1195 19125 2391	71405 74210 74215		4898 24510 189 2079		4898 24510 189 2079	-3703 -5385 123	-3748 -15311 32	-326% -166% 1%	
		75700	9004	9359	75705 75706 75707		203 1869 948		203 1869 948	6339	5984	66%	
	Outcome 7/ PMU	71400 71600	12323 3400	10939 239	71405 71610	971	7244 90	971	7244 2334	3695 -1979	5079 -1740	41% -51%	

					71620	544	360	544	881				
					71635	97	156	97	313				
		72200	5505	4064	72220		3342		3342	722	2163	39%	
		72400	1194	1195	72410		711		711	450	428	36%	
					72425		24		45				
					72430		3		3				
					72440		7		7				
		72500	2285	2271	72505		886		986	1385	1299	57%	
		72800	9199	9563	72805		6653		6653	945	581	6%	
					72810		1155		1155				
					72815		810		810				
					74115		17		17	-17	-17	--	
		74500	244	239	74510		46		61	193	183	75%	
		75700	9029	6634	75705		3495		4929	2957	3918	43%	
					75707		182		182				
	TOTAL (Ex-7%)		245,598	239,379			1,708	190,500	1,708	204,348	47,171	39,541	16%

**Differentiate financial delivery by Donor, if Project has multiple donors*

D. MAINSTREAMING GENDER EQUALITY

1. Governance Mechanisms

- All members of the organic staff for the Project Climate Twin Phoenix are women
 1. Hon. Mary Ann Lucille L. Sering – Vice Chairperson of the Climate Change Commission (CCC) and Executive Director of Climate Change Office of the CCC (CCC-CCO)
 2. Ms. Joyceline A. Goco – Deputy Executive Director of the CCC-CCO
 3. Ms. Julie Ann S. Amoroso – Technical Officer of the CCC-CCO
- The Project Management Unit are also staffed by women
 1. Ms. Marie France B. Balawitan – Administrative Assistant
 2. Ms. Gay G. Alcala – Finance Officer
 3. Ms. Susan Jose – Chief Technical Advisor

2. Capacity Building and Policy, Planning and Programming

- Equal opportunities are given to men and women in the hiring of staff for the project management unit

- Standard disaggregation of list of participants in meetings. Please refer to Annex 2

E. PARTNERSHIPS

- Several stakeholders (core group of government agencies, academe, civil society and the private sector) were initially and currently consulted in the project preparation.
- Looking at the inclusion of the Philippine Commission on Women (PCW) as part of the Project Steering Board
- Discussions starting in August with NEDA Regional Director Leon Dacanay, Jr. (and Vice-Chair of the Regional Development Council X) highlighting how the two projects, “Project Climate Twin Phoenix” and “Enhancing Capacities on DRRM/CCA Project” complement each other.

F. IEC AND KNOWLEDGE MANAGEMENT

The Climate Change Commission had several press releases. These are posted and archived at the official website of the Climate Change Commission (CCC) (<http://climate.gov.ph>) and the official Facebook page of the CCC. Links of the press release are also uploaded through the official twitter account of the CCC.

- o Press Release of the Climate Change Commission – Multi-lateral Support - <http://climate.gov.ph/index.php/news/press-releases/366-multi-lateral-support>
- o Press Release of the Climate Change Commission – P 32M for green growth programs - <http://climate.gov.ph/index.php/news/press-releases/365-p32m-for-green-growth-programs>
- o News Article by Johanna Poblete of the Business World – Two Projects to help LGUs cope with Climate Change - <http://climate.gov.ph/index.php/news/ccc-in-the-news/368-two-projects-to-help-lgus-cope-with-climate-change>
- o Online press releases of the Project Launching on December 11, 2012: Climate Change Commission - <http://climate.gov.ph/index.php/news/press-releases/582-project-twin-phoenix-launched>; Other websites - <http://www.pia.gov.ph/news/index.php?article=1461355210563>; <http://www.ugnayan.com/ph/gov/PIA/photo/YY8>; <http://www.preventionweb.net/english/professional/news/v.php?id=30048>; <http://www.cdodev.com/2012/12/12/climate-change-commish-launches-twin-phoenix-project/>; <http://bayanihan.org/2012/12/07/ccc-to-launch-project-twin-phoenix-in-mindanao-next-week/>;

Proceedings of activities (meetings, consultations, fora, workshops, etc.) are done and archived at all times. Documentation includes programme, list of attendees, highlights of the discussions, presentations, and photos. Stakeholders can give their feedback through different modes of communication made available.

G. INDICATIVE IMPACTS OF THE PROJECT

H. LESSONS LEARNED / EMERGING LESSONS

- Core Group Meeting: Prior to the initial stakeholders' consultation, we organized a core group meeting among key National Government Agencies handling critical sectors for implementing DRRM/CCA.
- Stakeholder Consultations: This involved pre-inception dialogues and an inception workshop with the Responsible Partners to gather their support, different views, and commitments on how best the project can be approached and operationalized. This provided a venue to present the project overview, key concepts on DRRM/CCA and background information on on-going projects or initiatives that helped the participants understand the relevance of the project in the region.
- Complementation with other related activities: The CCC, being the focal government agency in implementing other related foreign-assisted projects, helped ensure there are complementation rather than duplication of activities to maximize the assistance received by the Philippines. This was demonstrated with the conduct of a Regional Experts' Forum in partnership with NEDA to find possible areas of interface or areas of collaboration in two related projects that involve the major river basins of Cagayan de Oro and Mandulog in Northern Mindanao.

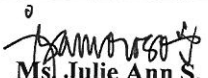
I. ACTIONS TAKEN REGARDING AUDIT AND/OR SPOT CHECK FINDINGS

J. RISK LOG UPDATE

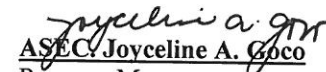
- Assess identified risks and record new risks that may affect project implementation:

#	Description	Date Identified	Type ³	Status	Countermeasures / Management response ⁴
1	Possible overlaps in terms of focus, outputs and deliverables from other similar projects funded by development partners		Operational		Aside from the Regional Experts' Forum that have defined several areas of interface/collaboration rather than duplication in the on-going project in the region, the project continues to hold dialogues/consultations with focal experts and institutions to delineate project outputs and deliverables.

Prepared by:


Ms. Julie Ann S. Amoroso
 Technical Officer
 Implementing Partner

Noted by:


ASEC. Joyceline A. Goco
 Program Manager
 Implementing Partner

Date: _____

³ Environmental, Financial, Operational, Organizational, Political, Regulatory, Strategic, Other

⁴ What actions have been taken/will be taken to counter this risk